Nokia Substance List 2017

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1  Purpose and scope

Nokia recognizes the need to identify and control the materials and substances used in its products and sales packaging. For this purpose Nokia has compiled the Nokia Substance List. The list specifies the substances Nokia has restricted, targeted for reduction or required to be reported. The basic principle for the Nokia Substance List is that the use of substances in Nokia products shall be safe for humans and environment. Nokia applies these mandatory requirements worldwide. This list and timetables are subject to change in light of further scientific evidence, legislation or other facts, at the sole discretion of Nokia.

The scope of the restrictions is generally defined (unless otherwise specified in the restriction table) as materials and substances present in the final product. The requirements apply for applications in Nokia products including components, materials (solders, pastes, etc.), parts, assemblies, accessories and packaging materials.

Compliance with this list does not exempt manufacturer/seller/supplier from any legal requirements, and it is the responsibility of manufacturer/seller/supplier to follow the latest legislative developments related to materials and substances. Further, if the manufacturer/seller/supplier has reason to believe that any substance, listed or otherwise, may create risk of harm to persons, the environment or products, the manufacturer/seller/supplier shall alert Nokia to the potential harm.
2 Definitions

Accessory – A non electronic device that is not essential in itself but adding to the convenience, or effectiveness of a product.

Application - Applications here shall mean components, materials (solders, pastes, etc.), parts, assemblies, accessories and packaging materials. Nokia products consist of the applications.

Article - An object, which during production is given a special shape, surface or design, and which determines its function to a greater degree than does its chemical composition. This definition is aligned with the EU REACH Regulation.


Assembly – A (semi) finished combination of parts.

Battery – or accumulator is any source of electrical energy generated by direct conversion of chemical energy and consisting of one or more non rechargeable cells or one or more rechargeable cells. This definition is aligned with the EU Battery Directive.

CAS number - Chemical Abstract Service number, which is a unique numerical identifier assigned to the substance. The same substance may have several different names, all having the same CAS number (e.g. acetone is also named 2-propanone, but only one CAS number: 67-64-1).

Conversion between units: ppm (parts per million), ppb (parts per billion) and percent (%) – Conversion instructions:

- To convert percent (%) to ppm multiply by 10 000, e.g. 0.1% = 0.1 * 10 000 = 1000 ppm
- To convert ppm to percent (%), divide by 10 000, e.g. 900 ppm = 900 / 10 000 = 0.09 %
- To convert ppm to ppb multiply by 1000, e.g. 0.025 ppm = 0.025 * 1000 = 25 ppb
- To convert ppb to ppm, divide by 1000, e.g. 100 ppb = 100 / 1000 = 0.1 ppm

Exemptions – Applications where the use of a restricted substance is permitted, such as RoHS exemptions and Nokia Substances List exemptions.

Homogeneous material - A material of uniform composition throughout or a material, consisting of a combination of materials, that cannot be disjointed or separated into different materials by mechanical actions such as unscrewing, cutting, crushing, grinding and abrasive processes. Examples are individual types of plastics, ceramics, glass, metals, alloys, resins and coatings. For example a stainless steel screw is a "homogeneous material", but a semiconductor package contains many homogeneous materials, which include plastic moulding material, tin-plating on the lead-frame, the lead-frame alloy and bonding wires. This definition is from RoHS.
Impurity - A substance contained in a natural material but which is not completely removed in the refining process (i.e. natural impurities), or which is generated in a reaction process but is not completely removed.

Intentionally introduced - For the purpose of the Nokia Substance List: "Intentionally introduced" shall mean "deliberately utilized in the formulation of a material or component where its continued presence is desired in the final product to provide a specific characteristic, appearance or quality". The use of recycled materials as feedstock for the manufacture of new products, where some portion of the recycled materials may contain amounts of regulated substances, is not to be considered as intentionally introduced.

Material - A material is made of one or more substances. Examples of materials are plastics, metals, coatings, alloys, paints and adhesives. For example copper alloy is a material made up of several substances, e.g. copper, nickel and zinc. Also preparations (e.g. solder pastes, fluxes, cleaners and lubricants), compounds (e.g. water and sodium chloride) and elements (e.g. hydrogen, helium, gold) are materials.

Nanomaterial - Definition of nanomaterial in Nokia is based on the European Commission Recommendation on the definition of nanomaterials (2011/696/EU):

‘Nanomaterial’ means a natural, incidental or manufactured material containing particles, in an unbound state or as an aggregate or as an agglomerate and where, for 50% or more of the particles in the number size distribution, one or more external dimensions is in the size range 1 nm-100 nm. In specific cases and where warranted by concerns for the environment, health, safety or competitiveness the number size distribution threshold of 50% may be replaced by a threshold between 1 and 50%.

Packaging – Restrictions for materials/substances used in packaging refer to sales (outbound) packaging. Packaging in Nokia refers to packaging including printed user guide and other printed materials and its transport packaging that is used for transportation for end customers.

Parts – Any item that is supplied to and/or designing on behalf of Nokia excluding packaging.

Processing – Any operation used to produce finished products or intermediate materials from raw materials or other resources.

Product - A final manufactured good that is delivered to Nokia customers.

Products: Mobile & Wearable - Products that belong to mobile and wearable categories – Mobile category contains devices such as mobile phones, smart phones and tablets. Wearable category contains devices that are intended to be worn by the user or maintained in close proximity to the user. Both categories also include accessories (such as USB cables, chargers or headsets) intended for use with products that belong to these categories.
**Products: Network & Others** – All network products and other products not covered by Products: Mobile & Wearables.

**Prolonged skin contact** - Prolonged contact with the skin is defined as contact with the skin of potentially more than 10 minutes on three or more occasions within two weeks, or 30 minutes on one or more occasions within two weeks. Definition is taken from the ECHA proposal for minimum contact time for “prolonged contact with the skin” in relation to the Nickel restriction: [http://echa.europa.eu/documents/10162/13641/nickel_restriction_prolonged_contact_skin_en.pdf](http://echa.europa.eu/documents/10162/13641/nickel_restriction_prolonged_contact_skin_en.pdf)

**Radioactive substance** - Substance whose radioactivity exceeds the natural background value.

**REACH** - Acronym for the EU Regulation (EC) 1907/2006 concerning the Registration, Evaluation, Authorisation and Restriction of Chemicals.

**Reportable** - Substances that are to be monitored regarding their use/presence in Nokia products and packaging and any usage/amounts present reported to Nokia.

**Restriction / threshold value** – Defines the limitation, requirement and/or regulation

**Restricted** - Substances that are prohibited from use as specified in the restriction / threshold level column in table 1 and/or 2.

For all other uses these substances are considered “To be Avoided”.


**Substance** - A chemical element and its compounds in the natural state or obtained by any manufacturing process, including any additive necessary to preserve its stability and any impurity deriving from the process used, but excluding any solvent which may be separated without affecting the stability of the substance or changing its composition. This definition is from REACH.

**To be Avoided** - Substances which Nokia tracks and expects suppliers to reduce and phase out from products and packaging as technically and environmentally acceptable alternatives become available. Suppliers are strongly advised to work on a phase-out plan based on potential future regulatory or Nokia implementation dates, as applicable.
3 Requirements for materials and substances

The Substance List defines all materials and substances which are restricted, to be avoided or defined as reportable. If a substance belongs to several material/substance groups, the stricter concentration limit is always applied.

3.1 Substance list

All materials and substances for which a requirement of any type applies are listed in Table 1 or Table 2. Examples of legal and regulatory references are listed for each material / substance group, but this list is not exhaustive. Even though some regulatory references are country specific, Nokia applies these mandatory requirements worldwide.

The letter "R" in any of the columns under Scope indicates that the substance is Restricted for that scope. The letter “A” in any of these columns means that the substance is To be Avoided.
Table 1 Legislative requirements for groups of materials and substances

<table>
<thead>
<tr>
<th>Material / Substance Group</th>
<th>Listing</th>
<th>Scope</th>
<th>Products: Mobile &amp; Wearables</th>
<th>Products: Network &amp; Others</th>
<th>Batteries</th>
<th>Packaging</th>
<th>Processing</th>
<th>Restriction / threshold level</th>
<th>References (non-exhaustive list)</th>
</tr>
</thead>
<tbody>
<tr>
<td>REACH: Restricted substances</td>
<td>Annex XVII</td>
<td>R</td>
<td>R</td>
<td>R</td>
<td>R</td>
<td>R</td>
<td>R</td>
<td>As specified in Annex XVII of REACH</td>
<td>EU REACH Regulation (EC)1907/2006 as amended</td>
</tr>
<tr>
<td>REACH: Authorised substances</td>
<td>Annex XIV</td>
<td>A</td>
<td>A</td>
<td>A</td>
<td>A</td>
<td>R</td>
<td>R</td>
<td>As specified in Annex XIV of REACH</td>
<td>EU REACH Regulation (EC)1907/2006 as amended</td>
</tr>
<tr>
<td>REACH: Candidate list for authorisation</td>
<td>cSVHC</td>
<td>A</td>
<td>A</td>
<td>A</td>
<td>A</td>
<td>R</td>
<td>R</td>
<td>Reportable(^2) if &gt; 0.1% by weight of an article</td>
<td>EU REACH Regulation (EC)1907/2006 as amended</td>
</tr>
<tr>
<td>RoHS: (Pb, Hg, Cd, Cr6+, PBBs, PBDEs)</td>
<td>2011/65/EU</td>
<td>R</td>
<td>R</td>
<td></td>
<td></td>
<td></td>
<td>R</td>
<td>As specified in Annex II - allowing for exemptions from Annex III</td>
<td>EU RoHS Directive 2011/65/EU as amended</td>
</tr>
<tr>
<td>RoHS: (DEHP, BBP, DBP, DIBP)</td>
<td>2015/863/EU</td>
<td>R</td>
<td>R(^3)</td>
<td></td>
<td></td>
<td></td>
<td>R</td>
<td>Exemption for Network and other non-mobile until July 2017</td>
<td>Nokia (additional substances per July 2019 under EU RoHS Directive 2011/65/EU as amended)</td>
</tr>
<tr>
<td>Radioactive substances</td>
<td>-</td>
<td>R</td>
<td>R</td>
<td>R</td>
<td>R</td>
<td>R</td>
<td>R</td>
<td>Intentionally introduced</td>
<td>EU-D 96/29/Euratom</td>
</tr>
<tr>
<td>Ozone depleting substances (ODS)</td>
<td>(EC)1005/2009</td>
<td>R</td>
<td>R</td>
<td>R</td>
<td>R</td>
<td>R</td>
<td>R</td>
<td>Intentionally introduced</td>
<td>Montreal Protocol; (EC)1005/2009 on Ozone Depleting Substances; US Clean Air Act</td>
</tr>
<tr>
<td>Fluorinated greenhouse gases</td>
<td>(EC)517/2014</td>
<td>R</td>
<td>R</td>
<td>R</td>
<td>R</td>
<td></td>
<td>R</td>
<td>As specified in Article 11</td>
<td>EU Regulation (EC)517/2014 on fluorinated greenhouse gases</td>
</tr>
<tr>
<td>Proposition 65 listed substances</td>
<td>PROP 65</td>
<td>R</td>
<td>A</td>
<td>A</td>
<td>A</td>
<td></td>
<td></td>
<td>Any application where risk of user exposure requiring a warning is to be expected</td>
<td>US California Safe Drinking Water and Toxic Enforcement Act of 1986 (Prop 65)</td>
</tr>
<tr>
<td>Substances that may cause skin sensitization</td>
<td>(EC)1272/2008</td>
<td>R</td>
<td>R</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Any application that may come into prolonged contact with the skin(^4)</td>
<td>Restriction applies to substances classified as Skin Sensitizer under CLP Regulation (CE)1272/2008</td>
</tr>
<tr>
<td>EU Battery Directive</td>
<td>2006/66/EC</td>
<td>R(^3)</td>
<td>R</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>As specified in Article 4, however, for Cd this applies to any type of battery</td>
<td>EU Battery Directive 2006/66/EC as amended</td>
</tr>
<tr>
<td>Nanomaterials</td>
<td>-</td>
<td>Reportable</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Various National registers (e.g. Belgium, France, Denmark)</td>
</tr>
</tbody>
</table>

1 In the columns under Scope “R” means Restricted, “A” means To be Avoided. For further explanation see chapter 2 Definitions.
2 For further explanation see chapter 2 Definitions and section 3.2.
3 Restricted from use unless explicitly amended or waived in writing by Nokia.
4 For reacted materials that are not in themselves sensitizing, this covers possible remains of sensitizing reactant(s).
<table>
<thead>
<tr>
<th>Material / Substance Group</th>
<th>Listing</th>
<th>Scope</th>
<th>Restriction / threshold level</th>
<th>Reference(s) (non-exhaustive list)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Antimony trioxide</td>
<td>-</td>
<td>R A A A</td>
<td>0.09% of homogeneous material</td>
<td>Nokia (prop65)</td>
</tr>
<tr>
<td>Beryllium oxide</td>
<td>-</td>
<td>R&lt;sup&gt;1&lt;/sup&gt; R&lt;sup&gt;3&lt;/sup&gt;</td>
<td>Intentionally introduced</td>
<td>Nokia (prop 65, subject to reporting of information to WEEE recyclers)</td>
</tr>
<tr>
<td>Beryllium and compounds (other than BeO)</td>
<td>-</td>
<td>R A</td>
<td>0.1% of homogeneous material</td>
<td>Nokia (prop 65, subject to reporting of information to WEEE recyclers)</td>
</tr>
<tr>
<td>Bromine and compounds</td>
<td>- R&lt;sup&gt;3&lt;/sup&gt; A</td>
<td>0.09% of homogeneous material</td>
<td>Nokia Policy (Halogen free)</td>
<td></td>
</tr>
<tr>
<td>Chlorine and compounds</td>
<td>- R&lt;sup&gt;9&lt;/sup&gt; A</td>
<td>0.09% of homogeneous material</td>
<td>Nokia Policy (Halogen free)</td>
<td></td>
</tr>
<tr>
<td>Formaldehyde</td>
<td>- A A A R</td>
<td>Intentionally introduced</td>
<td>Nokia (prop65)</td>
<td></td>
</tr>
<tr>
<td>Nickel</td>
<td>- R&lt;sup&gt;7&lt;/sup&gt; R&lt;sup&gt;7&lt;/sup&gt;</td>
<td>Any application that may come into prolonged contact with the skin</td>
<td>Nokia Policy (Skin Sensitizer)</td>
<td></td>
</tr>
<tr>
<td>Perfluorooctanic acids (PFOA)</td>
<td>- R R&lt;sup&gt;3&lt;/sup&gt; R R A</td>
<td>25 ppb of homogeneous material</td>
<td>Norway Product regulation (FOR 2004-06-01 Nr. 922, § 2-32) ; EU REACH restriction intention</td>
<td></td>
</tr>
<tr>
<td>Polyethylene (PE) foam</td>
<td>- R</td>
<td>Chemically cross-linked PE foam</td>
<td>Nokia Policy (End of Life concerns)</td>
<td></td>
</tr>
<tr>
<td>Polystyrene expanded</td>
<td>- R</td>
<td>Intentionally introduced</td>
<td>Nokia Policy (End of Life concerns)</td>
<td></td>
</tr>
<tr>
<td>Polyurethane</td>
<td>- R&lt;sup&gt;7&lt;/sup&gt;</td>
<td>Intentionally introduced</td>
<td>Nokia Policy (End of Life concerns)</td>
<td></td>
</tr>
<tr>
<td>Polyvinyl chloride (PVC)</td>
<td>- R A A R</td>
<td>Intentionally introduced</td>
<td>Nokia Policy (Halogen free)</td>
<td></td>
</tr>
</tbody>
</table>

5 Bromine used as an activator in solder (excluding solders used in product assembly) and use as pigments in optical applications is exempted.

6 Chlorine used as adhesion promoter, colorant as well as process residuals are exempted.

7 Nickel used in amorphous metals and ceramics and the use of nickel in stainless steels (excluding stainless steel with sulphur content over 0.03% due to its potential for nickel release) are exempted.
3.2 Reporting of substances

All substances classified as To be Avoided are reportable. Substances classified as Restricted shall not be used for any applications covered by the scope of the restriction, all other uses are “To be Avoided” and are reportable.

3.3 RoHS exemptions

Exemptions set by the RoHS directive apply to RoHS substances. The actual list of exemptions can be found in the consolidated version of the EU RoHS Directive (see EUR-Lex website under consolidated legislation: http://eur-lex.europa.eu/collection/eu-law/consleg.html)

It is the supplier’s responsibility to check the validity of the exemption in question.

3.4 REACH and nanomaterials

Suppliers are advised to follow the development of nanomaterials policy such as the review of the EU definition and inclusion in REACH: http://echa.europa.eu/regulations/nanomaterials as well as various mandatory National registers.

3.5 Examples of substances and their CAS numbers

Examples of substances and their CAS numbers in each material/substance group can be found in the IEC 62474 - Material Declaration for Products of and for the Electrotechnical Industry: http://std.iec.ch/iec62474.

Please note that this list is not exhaustive and suppliers need to identify if substances they are using in their products belong to additional restricted material/substance groups.

3.6 Contact

sustainability.global@nokia.com